

# Resilience Informatics for Innovation

— Collective Decision-Making —

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## Collective decision-making and voting



- ◆ Collective decision-making
  - A group of humans makes a decision following some procedure
- ◆ Voting
  - Mapping from individual decisions to a group decision
  - Primary procedure for collective decision in a democratic society
  - Choices and individual decisions are unchangeable during the aggregation process.
  - No social influences are allowed during voting.

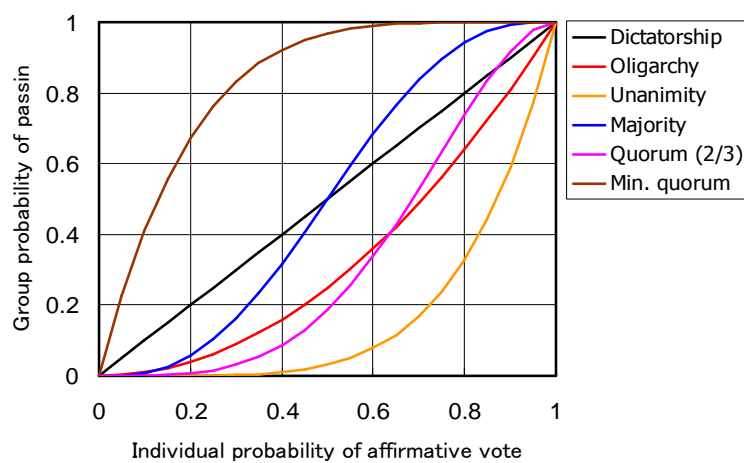


## Voting schemes

- ◆ Dictatorship
  - A particular person overrules the decision.
- ◆ Oligarchy
  - The unanimity of a particular subgroup decides.
- ◆ Unanimity
  - The unanimity of all members decides.
- ◆ Quorum (Majority)
  - More than a particular portion of group decides.
- ◆ Minimum quorum (Eureka)
  - At least one affirmative vote decides.



## Difference of voting schemes





## Voting with multiple choices

- ◆ Majority model
  - The candidate that obtained the most votes wins.
- ◆ Proportional model
  - The probability of winning is proportional to the number of obtained votes.
- ◆ Equiprobability model
  - Random selection from those obtained votes.
- ◆ Average model
  - The medium candidate wins among those obtained votes.
- ◆ Eureka model
  - At least one correct vote passes.



## General form of social decisions

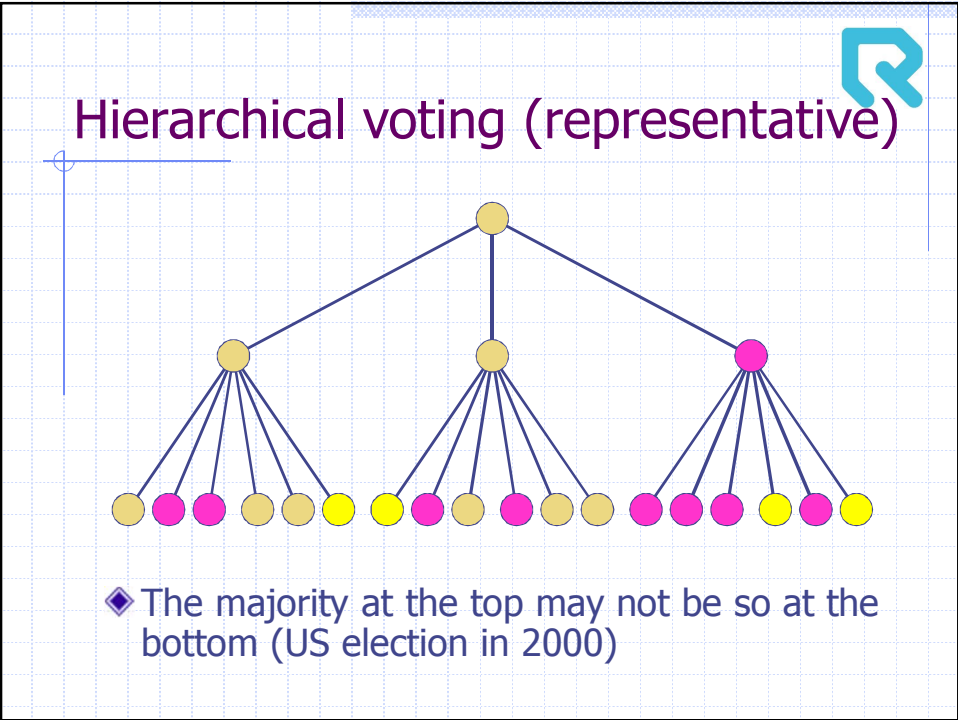
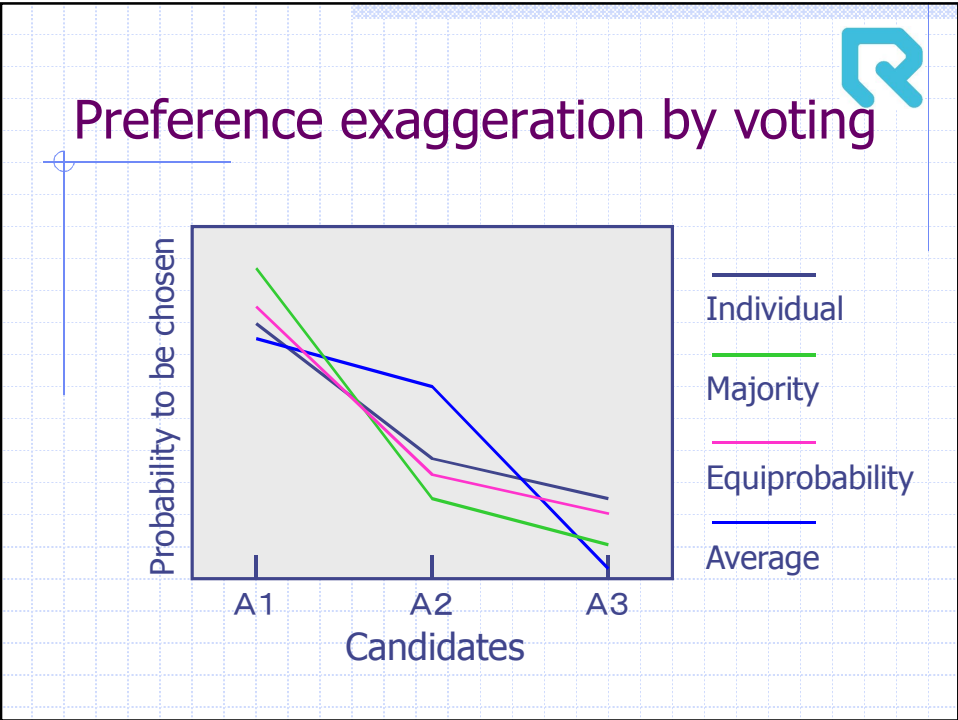
- ◆ Social decision scheme by Davis (1973)

$$[P_1, P_2, \dots, P_n] = [\pi_1, \pi_2, \dots, \pi_m] \begin{bmatrix} d_{11} & d_{12} & \dots & d_{1n} \\ d_{21} & d_{22} & \dots & d_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ d_{m1} & d_{m2} & \dots & d_{mn} \end{bmatrix}$$

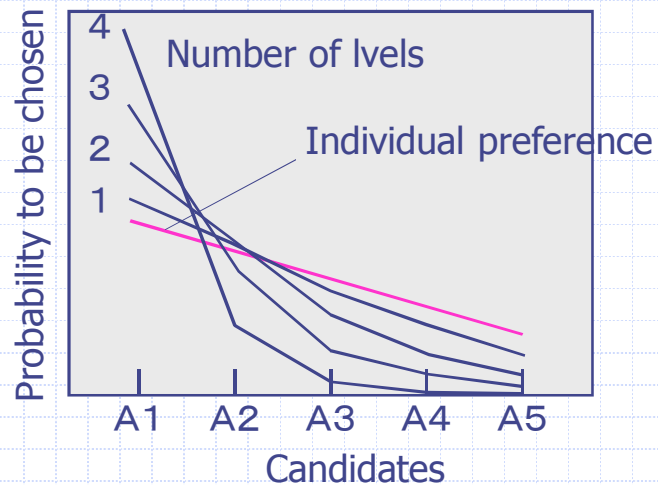
$P_i$  : Probability that the group chooses Item  $i$

$\pi_j$  : Probability that Pattern  $j$  of member choices obtains

$d_{ji}$  : Conditional probability that the group chooses Item  $i$ ,  
when Pattern  $j$  of member choices obtains



## Preference exaggeration by hierarchical voting



## Various voting systems



- ◆ Single voting
- ◆ Runoff voting
- ◆ Pairwise method (Condorcet method)
  - Every option is compared pairwise one by one at a time, and an option defeated every other is called Condorcet winner.
- ◆ Ranked voting method (Borda method)
  - Following the individual preference, 1<sup>st</sup>, 2<sup>nd</sup>, ...,  $n^{\text{th}}$  option get  $n-1$ ,  $n-2$ , ..., 0 score. One with the highest score wins.



## Paradox of voting

1.  $x > y > z$

◆ Single

2.  $x > y > z$

$x > y > z$

3.  $x > y > z$

◆ Pairwise

4.  $y > z > x$

$y > z > x$

5.  $y > z > x$

◆ Single disapproval

6.  $z > y > x$

$y > z > x$

7.  $z > y > x$



## Paradox of voting (2)

1.  $x > y > z$

◆ Runoff

2.  $x > y > z$

$x > y > z$

3.  $x > y > z$

◆ Pairwise

4.  $y > z > x$

$x > y, y > z, z > x$

5.  $y > z > x$

◆ Runoff disapproval

6.  $y > z > x$

$y > z > x$

7.  $z > x > y$



## Paradox of voting (3)

3pt. 2 1 0

1.  $x > y > a > b$

2.  $x > y > a > b$

3.  $y > a > b > x$

$$B_x = 3+3+0 = 6$$

$$B_y = 2+2+3 = 7$$

$$B_a = 1+1+2 = 4$$

$$B_b = 0+0+1 = 1$$

◆ Ranked

$$y > x > a > b$$

◆ Single

$$x > y > a > b$$

◆ Pairwise

$$x > y > a > b$$



## Paradox of voting (4)

Party X Bill A > B > C

Party Y Bill B > C > A

Party Z Bill C > A > B

◆ Vote from Bill A  $\Rightarrow$  Bill B

◆ Vote from Bill B  $\Rightarrow$  Bill C

◆ Vote from Bill C  $\Rightarrow$  Bill A

## Requirements for democratic decision



- ◆ Non-dictatorship
  - A particular person cannot overrule the outcome.
- ◆ Transitivity of preference
  - If  $A > B$  and  $B > C$ , then  $A > C$ .
- ◆ Pareto efficiency (Unanimity)
  - If  $A > B$  for everybody, then  $A > B$  should result.
- ◆ Universal admissibility
  - Members can freely choose any preference.
- ◆ Independence of irrelevant alternatives
  - The social ranking of A and B depends just on member rankings of A and B.

## Arrow's impossibility theorem



- ◆ No preference aggregation rules (social welfare functions) exist that satisfy the following criteria.
  - Non-dictatorship
  - Transitivity of preference
  - Pareto efficiency
  - Universal admissibility
  - Independence from irrelevant alternatives

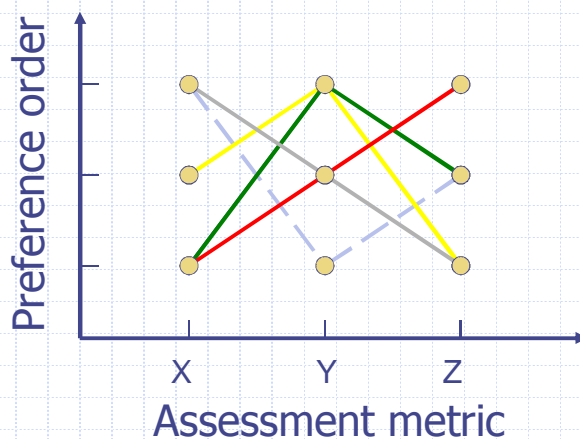


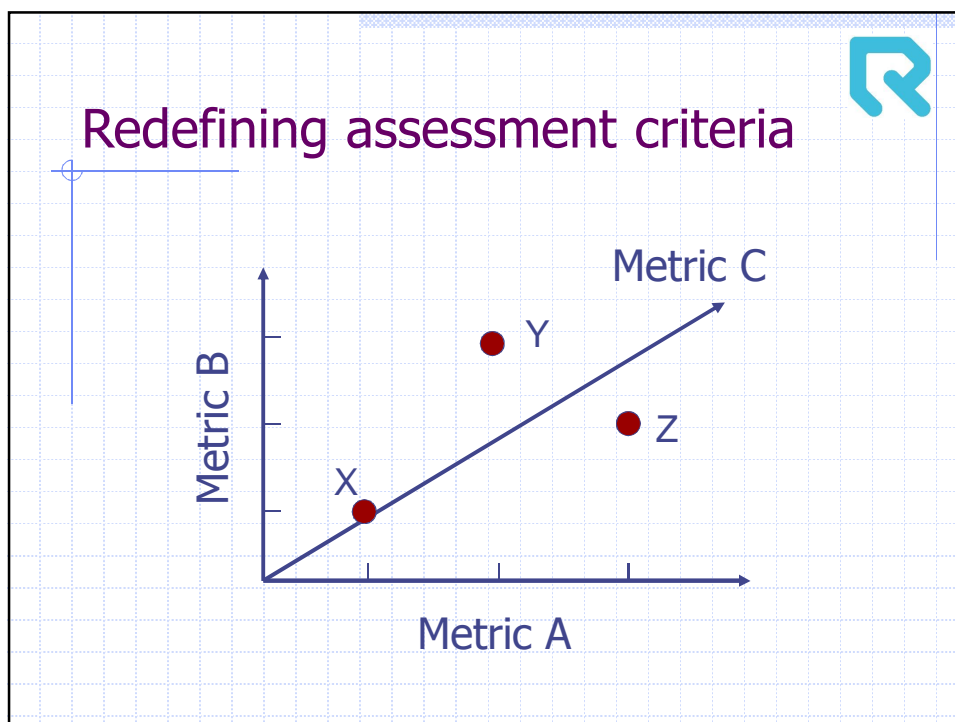
## Escape from Arrow's theorem



- ◆ Restriction of preference
  - Prohibit irrational or unfair preference
- ◆ Structurization of aggregation process
  - Preparing a binary vote by deliberation
- ◆ Relaxing the determinateness of vote
  - Redefining assessment criteria of candidates
  - Introduction of new candidates

## Single peaked preference





<http://www.cse.sys.t.u-tokyo.ac.jp/furuta/teaching/rii>